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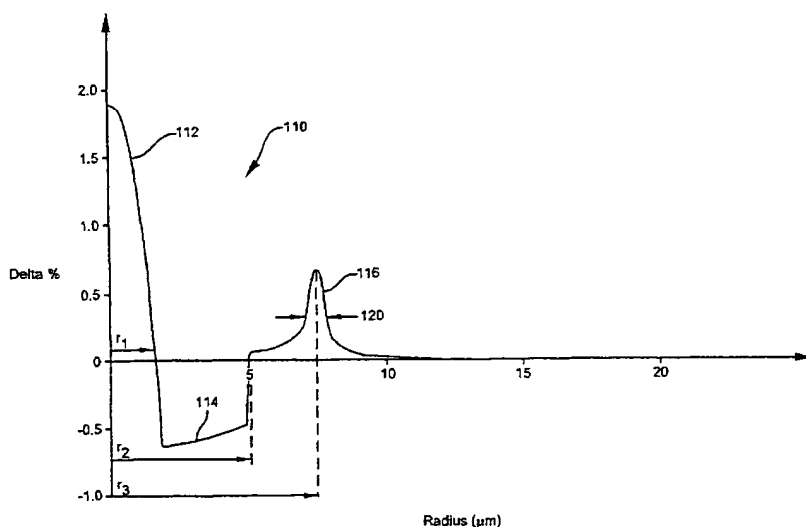
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(54) Title: DISPERSION SLOPE COMPENSATING OPTICAL FASER



(57) Abstract: Disclosed is a dispersion compensating optical fiber that includes a core surrounded by a cladding layer of refractive index  $\Delta_c$ . The core includes at least three radially adjacent regions, a central core region having  $\Delta_1$ , a moat region having a refractive index  $\Delta_2$  and an annular ring region having a refractive index  $\Delta_3$ , such that  $\Delta_1 > \Delta_3 > \Delta_c > \Delta_2$ . The fiber exhibits a dispersion slope which is less than  $-1.0 \text{ ps/nm}^2/\text{km}$  over the wavelength range 1525 to 1565, a dispersion at 1550 which is less than  $-30 \text{ ps/nm/km}$ , and a  $\kappa$  value obtained by dividing the dispersion value by the dispersion slope which is greater than 35 and preferably between 40 and 100.

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## DISPERSION SLOPE COMPENSATING OPTICAL FASER

## RELATED APPLICATIONS

5           This application claims priority to, and the benefit of, US applications 60/192,056 filed March 24, 2000 and 60/196,437 filed April 12, 2000, the disclosures of which are hereby incorporated by reference herein.

## BACKGROUND OF THE INVENTION

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1.     Field of the Invention

          The present invention relates to dispersion compensating optical fibers that are suitable for use in wavelength division multiplexing (WDM) systems, more particularly to dispersion compensating fibers that are particularly well suited for use in the C-band and L-band operating windows.

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2.     Technical Background

          To meet the ongoing drive for more bandwidth at lower costs, telecommunications system designers are turning to high channel count dense wavelength division multiplexing (DWDM) architectures, longer reach systems and higher transmission bit rates. This evolution makes chromatic dispersion management critical to system performance, as system designers now desire the ability to accurately compensate dispersion across entire channel plans. Typically, the only viable broadband commercial technology to battle dispersion has been dispersion

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compensating fibers (DCF) modules. As DWDM deployments increase to 16, 32, 40 and more channels, broadband dispersion compensating products are desired. Telecommunications systems presently in place include single-mode optical fibers which are designed to enable transmission of signals at wavelengths around 1550 nm in order to utilize the effective and reliable erbium fiber amplifiers.

One such fiber, LEAF optical fiber, manufactured by Corning Inc., is a positive nonzero dispersion shifted fiber (+ NZDSF), and has become the optical fiber of choice for many new system deployments due to its inherently low dispersion and economic advantage over conventional single mode fibers.

With continuing interest in going to even higher bit rates (> 40 Gbs), Ultra-long reach systems (> 1000 km) and optical networking, it will become imperative to use DCFs in networks that carry data on Non-Zero Dispersion shifted fiber (NZ-DSF) as well. The early versions of DCF's, those developed for single mode fibers, when used in combination with NZ-DSF fibers effectively compensated dispersion at only one wavelength. However, high bit rates, longer reaches and wider bandwidths require dispersion slope to be compensated more exactly. Consequently, it is desirable for the DCF to have dispersion characteristics such that its dispersion and dispersion slope is matched to that of the transmission fiber it is required to compensate. The ratio of dispersion to dispersion slope at a given wavelength is referred to as "kappa ( $\kappa$ )". Kappa changes as a function of wavelength for a given transmission fiber. Hence, it is equally important that as we migrate to Ultra broadband networks that the kappa value of the DCF is matched to that of the transmission fiber at more than one wavelength.

It would be desirable to develop alternative dispersion compensating fibers, particularly ones having the ability to compensate for dispersion of non-zero dispersion shifted fibers and other positive dispersion optical fibers over a wide wavelength band around 1550 nm.

#### SUMMARY OF THE INVENTION

One aspect of the present invention relates to a dispersion slope compensating optical fiber which comprises a core refractive index profile which is selected to result

in a fiber which exhibits negative dispersion and dispersion slope at 1550 nm, and a kappa value greater than 35. The kappa ( $\kappa$ ) value of a DC fiber is defined herein as:

$$\kappa = (D_{DC}) / (DSlope_{DC})$$

5 where  $D_{DC}$  and  $DSlope_{DC}$  are the chromatic dispersion and dispersion slope of the DC fiber, respectively, the dispersion value being measured at 1550nm, and the dispersion slope being measured over the wavelength range of 1530 to 1560nm.

The negative dispersion slope of the fibers of the invention is less than  $-1.0$  ps/nm<sup>2</sup>/km, over the wavelength range 1530 to 1560nm. In one preferred embodiment, the dispersion slope is between about  $-1.5$  and  $-3.0$  ps/nm<sup>2</sup>/km, and in another  
10 preferred embodiment, the dispersion slope is between about  $-1.8$  and  $-2.5$  ps/nm<sup>2</sup>/km over the wavelength range 1530 to 1560nm.

The fibers of the present invention also exhibit a very negative dispersion at 1550nm, i.e., less than  $-30$  ps/nm/km. The preferred fibers of the present invention exhibit a dispersion at 1550nm which less than  $-50$  ps/nm/km, more preferably less than  
15  $-70$  ps/nm/km, and most preferably less than  $-100$  ps/nm/km.

Preferred fibers in accordance with the present invention are capable of exhibiting a kappa value at 1550 nm between 40 and 100 or more. The desired kappa may thus be selected depending on the long haul fiber that is to be compensated. For example, one preferred embodiment relates to fiber made in accordance with the  
20 invention which exhibit a Kappa between about 40 and 60 at 1550nm. This preferred embodiment is especially useful for compensating the dispersion created in the C-band (e.g., 1530-1565) by an optical communication system which utilizes LEAF® optical fiber.

Fibers disclosed herein may also be used in the L-band (1565-1625 nm). In  
25 particular, we have found that insertion losses are achievable which are suitable for making the fibers of the present invention suitable for use in the L-band, i.e., less than 1 dB per kilometer. The fibers which are L-band compatible exhibit a  $\kappa$  at 1590 nm which is also greater than 50, more preferably greater than 70. In one preferred embodiment, these fibers exhibit a  $\kappa$  at 1590 nm which is between about 80 and 100.  
30 This preferred embodiment is especially useful for compensating the dispersion created in the L-band by an optical communication system which utilizes LEAF optical fiber.

Thus an overall preferred range for C and L band compensation is between -40 and -150, and more preferably between -40 and -90.

All of the above described properties are achievable utilizing fiber having a refractive index profile which comprises a central segment having a relative refractive index  $\Delta 1$ , a second annular segment surrounding the central core segment having relative refractive index  $\Delta 2$ , a third annular segment which surrounds said second segment having relative refractive index  $\Delta 3$  and a cladding layer having relative refractive index  $\Delta c$ , wherein  $\Delta 1 > \Delta 3 > \Delta 2$  and:

$$\Delta = \frac{(n_1^2 - n_c^2)}{2n_1^2} \times 100$$

Preferably, the refractive index profile is selected so that the ratio of the refractive index  $\Delta$  of the second core segment to that of the first core segment ( $\Delta 2/\Delta 1$ ) is greater than -.4. More preferably, the ratio of the deltas of the second segment to the first segment  $\Delta 2/\Delta 1$  is greater than -.37. Also, preferably,  $\Delta 1 > \Delta 3 > \Delta c > \Delta 2$ .

If the negative dispersion slope of the fiber is made less than -0.08 ps/nm<sup>2</sup>/km, the fibers will have particular utility for compensating the dispersion for large effective area (greater than 50, more preferably greater than 60, and most preferably greater than 65) nonzero dispersion shifted fibers. One such fiber, Corning's LEAF® fiber, is a optical fiber having a zero dispersion wavelength outside the range of 1530-1565, and an effective area greater than 70 square microns. LEAF fiber's larger effective area offers higher power handling capability, higher optical signal to noise ratio, longer amplifier spacing, and maximum dense wavelength division multiplexing (DWDM) channel plan flexibility. Utilizing a larger effective area also provides the ability to uniformly reduce nonlinear effects. Nonlinear effects are perhaps the greatest performance limitation in today's multi-channel DWDM systems. The dispersion compensating fibers disclosed herein are exceptional in their ability to compensate for the dispersion of NZDSF fibers, in particular Corning's LEAF fiber. LEAF optical fiber nominally exhibits an effective area of 72 square microns and a total dispersion of 2-6 ps/nm/km over the range 1530-1565.

Additional features and advantages of the invention will be set forth in the detailed description which follows, and in part will be readily apparent to those skilled

in the art from that description or recognized by practicing the invention as described herein, including the detailed description which follows, the claims, as well as the appended drawings.

It is to be understood that both the foregoing general description and the following detailed description are merely exemplary of the invention, and are intended to provide an overview or framework for understanding the nature and character of the invention as it is claimed. The accompanying drawings are included to provide a further understanding of the invention, and are incorporated in and constitute a part of this specification. The drawings illustrate various embodiments of the invention, and together with the description serve to explain the principles and operation of the invention.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1-4 illustrate refractive index profiles of fibers made in accordance with the invention.

Figure 5 illustrates insertion loss as a function of wavelength for a C and L-band fiber made in accordance with the invention.

Figure 6 illustrates residual dispersion per unit length as a function of wavelength when a C and L band dispersion compensating fiber made in accordance with the invention are used in combination with Corning LEAF® optical fiber.

Figure 7 illustrates a refractive index profile of a fiber made in accordance with the present invention.

#### DETAILED DESCRIPTION OF THE INVENTION

Reference will now be made in detail to the present preferred embodiments of the invention, an example of which is illustrated in the accompanying drawings. Wherever possible, the same reference numbers will be used throughout the drawings to refer to the same or like parts. An exemplary embodiment of a refractive index profile of a fiber in accordance with the present invention is shown in Figure 1.

Refractive index profile 10 consists of a central up-doped region 12 having peak  $\Delta 1$  which is surrounded by a first down-doped moat region 14 having peak negative  $\Delta 2$ , which is in turn surrounded by annular ring and a second up-doped region 16 having



peak  $\Delta 3$ , all of which are surrounded by cladding region 18. Preferably, regions 12 and 16 are formed using germania doped  $\text{SiO}_2$ , although other forms of index refraction increasing dopants could also be employed to achieve the fibers disclosed herein, so long as the same general refractive index profile is achieved. Likewise, whereas region 14 is preferably formed using fluorine doped  $\text{SiO}_2$ , other index of refraction decreasing dopants could be employed besides fluorine. Cladding region 18 is preferably formed of silica. However, cladding region 13 could also include index of refraction increasing or decreasing in dopants, so long as the  $\Delta$  versus radius relationship illustrated is maintained.

In one embodiment of the dispersion slope compensating optical fiber illustrated in Fig. 1,  $\Delta 1$  ranges between 1.0 and 2.5 percent and comprises an outer radius  $r_1$  (in Fig. 1,  $r_1$  is drawn to the point where the profile intersects the x-axis) between about 1 to 3 microns,  $\Delta 2$  is less than about -0.3 percent, more preferably less than -0.4 percent, and has an outer radius  $r_2$  which ranges between about 3.5 and 8 microns, and  $\Delta 3$  is between about .2 to 1.2 percent and comprises a center radius  $r_3$  (drawn to the center of the segment) between about 5 to 12 microns outer radius, as used herein, means the distance measured from the centerline of the optical fiber to the outer region of the segment, i.e., where the outer region of the index segment intersects the x-axis (which is also equal to the index of the cladding material 18). Center radius, on the other hand, is measured to the center of the core segment.

More preferably,  $\Delta 1$  of segment 12 is between 1.2 and 2.2 percent and comprises an outer radius  $r_1$  between about 1 to 2 microns,  $\Delta 2$  of segment 14 is between about -0.5 and -1.0 percent, and has an outer radius  $r_2$  between about 4 and 7 microns.

The third annular segment 16 can vary more in  $\Delta$  versus radial dimension than segments 12 and 14. For example, higher and narrower annular rings segment 16 may be replaced by shorter and wider annular ring segment 16 to achieve fiber exhibiting the desired properties in accordance with the invention. For example, in one more preferred embodiment, the third annular segment 16 may be selected from the group consisting of a) a  $\Delta 3$  between about 0.5 to 1.0 percent and a center radius of 5 to 12 microns, and a half-height width between about 0.5 to 2.5 microns, and b) a  $\Delta 3$  between

about 0.1 to 0.5 percent, a center radius of 6 to 12 microns, and a half-height width between about 1.5 to 3 microns.

Most preferably  $\Delta 1$  of segment 12 is between 1.0 and 2.5 percent and comprises an outer radius between about 1 to 3 microns,  $\Delta 2$  of segment 14 is less than about -0.5 percent, and has outer radius  $r_2$  between about 3.5 and 8 microns, and  $\Delta 3$  of segment 16 is between about 0.2 to 1.0 percent and comprises a center radius  $r_3$  between about 5 to 12 microns.

Fibers made in accordance with the invention may also exhibit a fiber cut off wavelength which is higher than the C or L band (i.e. higher than 1650 nm). Consequently, when clad with silica cladding, the fibers disclosed herein are few moded, rather than single mode, at 1550 nm. Conversely, previous prior art dispersion compensating fibers have been designed to support only one mode in the transmission window of interest. Long haul fibers designed to be few moded with high fiber cutoff wavelengths often support only one mode in the cable as the cabling process reduces the cutoff wavelength. The primary reason why these fibers support only one mode in the cable is because of the fact that the cabling process induces random stress points on the fiber which in turn help in dissipating the energy from the higher order mode. However, in the case of the dispersion compensating fiber module, there is no cabling process and hence, in general, one should not expect any decrease in the cutoff wavelength after the fiber is made. Hence, we would expect that if the fiber supports two (or maybe even three modes) in the fiber form in the 1550 nm operating wavelength, the same fiber will support the same number of modes in the module form as well. However, it should be noted that the fibers disclosed in here do not necessarily have to be employed only in dispersion compensating modules, and instead the fibers could be employed in dispersion compensating fiber cables (rather than enclosed modules that are typically employed in boxes).

However, we have found that, if a DC fiber supports more than one mode, the cross-talk created during the propagation of the higher order modes over a length (e.g. greater than 100 meters, more preferably greater than 500 meters) of straight fiber is 30 dB or less. Consequently, it is possible for dispersion compensating fibers that support more than one mode to have minimal impact on system performance. Moreover, deploying such dispersion compensating fibers in modules wound around a hub of a

diameter between about three to five inches, will induce additional stress, and bending of higher order modes will further decrease modal noise. Consequently, in a preferred embodiment, the dispersion compensating optical fibers disclosed herein are deployed in such dispersion compensating modules wherein the fiber is wound around a hub.

5 Preferably the hub is cylindrical, and has a diameter of less than about 12 inches, more preferably less than about 10 inches, and most preferably less than about 6 inches, and the length of fiber deployed therein is greater than 100 meters, more preferably greater than 500 meters.

Thus, it is possible to decrease the bend sensitivity of the dispersion  
10 compensating fiber by designing the fiber to have a high fiber cut off wavelength. In addition, the fiber design may be modified to increase the fiber cut off wavelength without effecting any of the other optical properties deleteriously.

### EXAMPLES

15 The invention will be further illustrated by the following examples which are meant to be illustrative and an exemplary of the invention.

In Example 1, a fiber having the refractive index profile illustrated in Fig. 1 was made having a central core region 12 with peak  $\Delta = 1.85$  percent and an outer radius  $r_1$  of 1.6 microns, a moat  $\Delta$  in region 14 of about -0.65 and an outer radius  $r_2$  of 5.4 microns  
20 (and an average moat  $\Delta$  equal to about -0.55) and a ring peak  $\Delta$  equal to about 0.56 with a ring center radius (measured to the center of the core segment) of about 7.8 microns and a half height width of about 1 micron. The raised index regions 12 and 16 were formed using germania doping, and the lowered index region 14 was formed using fluorine doping. Outer clad region 18 was pure silica, and the outer diameter of the  
25 resultant fiber was 125 microns. The resultant fiber exhibited a dispersion at 1550 of approximately -107 ps/nm/km, a dispersion slope of about -1.18 and a  $\kappa$  value of about 90. The effective area of this fiber was approximately 16 square microns, and the fiber cutoff wavelength was longer than 1650, the detection limit of the equipment. In fact, for all of the fibers disclosed herein, the fiber cutoff wavelength was too high to be  
30 measured using current equipment.

Additional examples of embodiments in accordance with the invention are listed in Tables 1, 2, and 3. The corresponding  $\Delta$  versus radius relationships of each of these

examples is set forth in Table 1 below, wherein the radius of the  $\Delta 1$  and  $\Delta 2$  segments is an outer radius, and the radius of  $\Delta 3$  is a center radius. Also set forth for  $\Delta 3$  is the half height width. All of the radius and half-height width values are set forth in microns.

Also set forth are the corresponding dispersion properties, including dispersion

measured at 1550 nm, dispersion slope over the wavelength range 1530-1560, kappa  $\kappa$  as defined above, and fiber cut off wavelength. Examples 2 and 3 are very similar in appearance to Fig. 1, in that, in both such examples, the annular ring segment 16 is a triangular annular ring. On the other hand, examples 4, 6, and 7 are similar to the embodiment illustrated in Fig. 2 in that they employ annular ring segments 16 which are rounded or gaussian in shape. The Example 5 embodiment is illustrated in Fig. 3.

Fibers described in Tables 1 and 2 fall within a particularly preferred range of refractive index profiles in accordance with the invention, in which  $\Delta 1$  ranges between 1.5 and 2.2 percent and comprises an outer radius  $r_1$  (drawn to the point where the profile intersects the x-axis) between about 1 to 3 microns,  $\Delta 2$  is less than about -0.4 percent, and has an outer radius  $r_2$  which ranges between about 4.5 and 7.5 microns, and  $\Delta 3$  is between about .2 to 1.2 percent and comprises a center radius  $r_3$  (drawn to the center of the segment) between about 5 to 12 microns outer radius, as used herein, means the distance measured from the centerline of the optical fiber to the outer region of the segment, e.g., where the outer region of the index segment intersects the x-axis (which is also equal to the index of the cladding material 18). Center radius, on the other hand, is measured to the center of the core segment.

Table 1

	$\Delta 1$	Outer $r_1$ ( $\mu\text{m}$ )	$\Delta 2$	Outer $r_2$ ( $\mu\text{m}$ )	$\Delta 3$	Ctr. $r_3$ ( $\mu\text{m}$ )	H. Ht. Width ( $\mu\text{m}$ )	$D_{1550}$	$D_{\text{slope}}$	$\kappa$	Fiber cutoff
Ex. 2	1.86	1.6	-.66	5.4	.56	7.8	2	-104	-1.15	91	> 1650
Ex. 3	2.02	1.7	-.68	5	.88	7	1	-183	-1.93	95	> 1650
Ex. 4	2.1	1.7	-.6	5	.48	10.1	1.9	-75	-1	75	> 1650
Ex. 5	1.7	1.4	-.45	6.6	.2	8.8	4.3	-163	-3.38	49	> 1650
Ex. 6	2.06	1.79	-.6	5.14	4.82	10.1	2	-141	-2.11	66	> 1650

As the role of waveguide dispersion is made larger in order to attain DCF's with ultra high negative dispersion slopes, the DCFs become more bend sensitive. One way to reduce the bend sensitivity of the fiber is to reduce the effective area of the fiber. This however can have negative impact on the system performance via increased non-linear effects. Hence, proper design of a DCF with high negative dispersion slope for broadband WDM systems requires a careful optimization of the bend sensitivity of the fiber while keeping the effective area of the fiber as large as possible.

The effective area of all of the examples in Table 1 were between 15 and 17  $\mu\text{m}^2$  and attenuation was less than 1 dB/km. All of the results shown in Table 1 above are for fibers that were drawn to 125 micron diameter fiber. These resultant properties can be modified to some extent by drawing the optical fibers to larger or smaller diameters. For example, when the profile disclosed in Fig. 2 was drawn to a diameter of 120 microns, the dispersion at 1550 was -232 ps/nm/km, the dispersion slope was -2.52 ps/nm<sup>2</sup>/km, and the  $\kappa$  value remained at about 92.

The fiber described in Table 2 below has excellent utility as a fiber for use in the L-band to compensate for the dispersion created in optical communications systems which employ LEAF fiber. The properties at 1590 nm for this fiber are set forth in Table 2. At 1550, the Example 7 fiber exhibits a  $\kappa$  value of about 92, a dispersion of -84 ps/nm/km, and a dispersion slope of -.9 ps/nm<sup>2</sup>/km.

Table 2

	$\Delta 1$	Outer $r_1$	$\Delta 2$	Outer $r_2$	$\Delta 3$	Ctr. $R_3$	H. Ht. Width	$D_{1590}$	$D_{\text{slope}}$	$\kappa_{1590}$	Fiber cutoff	$MFD_{1550}$
Ex. 7	1.88	1.64	-.65	5.25	.32	7.63	1.61	-84	-0.9	92	0.55	4.75

Consequently, in one embodiment which is optimized to enable broadband dispersion compensation for LEAF fiber across both the C-band and L-band, a first fiber (e.g. Example 5) may be employed to compensate for dispersion across the C-band, and a second fiber, (e.g., Example 7) may be employed to compensate for dispersion across the L-band. These two fibers could therefore be employed together within a single dispersion compensating module to compensate for dispersion over both the C-band (e.g., 1530-1565nm) and L-band (e.g., 1565-1625nm) transmission

windows. These two fibers in combination are capable of extremely good dispersion compensation of optical communications systems which employ LEAF optical fiber. Such optical communications systems typically consists of, for example, at least a signal transmitter and signal receiver, and one or more dispersion compensating modules over the path of communication to compensate for dispersion which builds up in the transmitted signal.

Figure 5 illustrates a plot of absolute insertion losses of these two fibers made in accordance with the invention, a first fiber 30 (Example 5) having a  $\kappa$  of 48 at 1550 and a second fiber 32 (Example 7) having a  $\kappa$  of 92 at 1590 nm. As can be seen from Figure 5, the lower  $\kappa$  of the Example 5 fiber is very well suited for dispersion and dispersion slope compensation of Corning's LEAF optical fiber in the C-band, with relative flat insertion loss across the C-band. Similarly, the higher  $\kappa$  of the Example 7 fiber is well suited for dispersion and dispersion slope compensation of LEAF in the L-band. The bend edge of the fiber does not start until 1615 nm.

Based on the fiber loss per unit length shown for Examples 5 and 7 in Tables 1 and 2 and insertion loss numbers for the module shown in Figure 8, we can see that a substantial portion of the module loss comes from the splices.

In Figure 6 we show the residual dispersion as a function of wavelength while using the C-band fiber 30 ( $\kappa = 49$ ) in the C-band and the L-band fiber 32 ( $\kappa = 92$ ) in the L-band. As can be seen the residual dispersion across the C and the L-band combined band is less than  $\pm 0.25$  ps/nm-km.

The true impact of dispersion slope compensation can only be realized in systems where the edge channels are dispersion limited. Generally speaking, edge channels in Ultra-broad band ( $> 40$  nm bandwidth) and long haul systems (up to 600 km) or Ultra long haul ( $> 1000$  km) and broadband (32 nm)-systems are expected to be dispersion limited. However, in either case because of the complexity of the system and the very large number of components required to make the system work effectively, it is extremely difficult to know if the edge channels are truly in a dispersion limited regime. Hence, it is difficult to evaluate the true impact of these very high negative slope dispersion compensating fibers. However, in a re-circulating loop (125 km loop) test conducted with 32 channels in the C-band it was found that ever after 6 round trips all of the channels had a Q that was greater than 8.5 dB.

Fig. 4 illustrates an alternative embodiment of the invention having a relatively wider annular segment 16 compared to the other embodiments. Examples 8, 9, and 10 have profiles similar to those in Fig. 4 and having the parameters as set forth in Table 3. Fibers described in Table 3 fall within a particularly preferred range of refractive index profiles in accordance with the invention, in which  $\Delta 1$  ranges between 1.0 and 2.0 percent and comprises an outer radius  $r_1$  (drawn to the point where the profile intersects the x-axis) between about 1 to 3 microns,  $\Delta 2$  is less than about -0.3 percent, and has an outer radius  $r_2$  which ranges between about 4.0 and 7.0 microns, and  $\Delta 3$  is between about .2 to .8 percent and comprises a center radius  $r_3$  (drawn to the center of the segment) between about 7 to 12 microns, and a  $\Delta 3$  half height peak width of about 5 to 10 microns. The profiles are particular good for obtaining low kappas at 1550nm, e.g. between about 45 and 65.

Table 3

	$\Delta 1$	Outer $r_1$ ( $\mu\text{m}$ )	$\Delta 2$	Outer $r_2$ ( $\mu\text{m}$ )	$\Delta 3$	Ctr. $r_3$ ( $\mu\text{m}$ )	H. Ht. Width ( $\mu\text{m}$ )	$D_{1550}$	$D_{\text{slope}}$	Kappa
Ex. 8	1.5	2.2	-.35	5.2	.3	10	8.5	-90	-1.45	62
Ex. 9	1.5	2.2	-.50	5.0	.25	9.0	5.5	-128	-2.31	55
Ex. 10	1.7	2.1	-.60	4.5	.25	9.5	8.0	-165	-3.3	50

Table 4

	$\Delta 1$	Outer $r_1$ ( $\mu\text{m}$ )	$\Delta 2$	Outer $r_2$ ( $\mu\text{m}$ )	$\Delta 3$	Ctr. $r_3$ ( $\mu\text{m}$ )	H. Ht. Width ( $\mu\text{m}$ )	$D_{1550}$	$D_{\text{slope}}$	Kappa
Ex. 11	1.8	1.8	-.69	5.0	0.7	7.5	0.9	-120	-1.6	75

Fig. 7 illustrates another embodiment of the slope compensating optical fiber in accordance with the present invention. This embodiment best illustrates the spacing of the up-doped ring region 116 away from the outer diameter  $r_2$  of the down-doped moat region 114. In this embodiment of the fiber, designated as example 11 in Table 4 above, the fiber profile 110 shown in Fig. 7 provides a dispersion at 1550 nm which is

between about  $-30$  and  $-200$  ps/nm/km; a dispersion slope less than  $-1.1$  ps/nm<sup>2</sup>/km; and a kappa value between 40 and 95. This provides a fiber that may compensate for both slope and dispersion by exhibiting a relatively large negative slope and relatively large negative dispersion. More preferably, the dispersion slope compensating optical fiber in accordance with the invention includes a dispersion at 1550 nm which is between  $-90$  and  $-150$  ps/nm/km; a dispersion slope less than  $-1.5$  ps/nm<sup>2</sup>/km; and a kappa value between 40 and 95. The above-mentioned dispersion slope compensating optical fiber preferably includes a refractive index profile 110 as shown in Fig. 7 having a central segment 112 having a  $\Delta_1$  and an outer radius  $r_1$  and a second annular moat segment 114 having a  $\Delta_2$  and having an outer radius  $r_2$  wherein, preferably,  $r_1$  is less than 2.0 microns and  $r_2$  is between 4.0 and 7.0 microns and wherein the core moat ratio taken as  $r_1$  divided by  $r_2$  is less than 0.38, and more preferably less than 0.34.

The preferred embodiment of the profile 110 has a  $\Delta_1$  between about 1.6 percent to 2.0 percent. The outer radius  $r_1$  of the central core region 112 is located at between about 1.5 to 2.0 microns. The annular moat region 114 surrounding and in contact with the central region 112 has a  $\Delta_2$  which is preferably less than about -0.6 percent and has an outer radius  $r_2$  between about 4.5 and 6 microns. The spaced ring region 116 includes  $\Delta_3$  is between about 0.4 to 0.8 percent and comprises a center radius  $r_3$  between about 6 to 10 microns. Preferably the peak of the region 116 is located such that  $r_3$  is spaced from  $r_2$  by greater than 1.0 microns, and more preferably greater than 2 microns.

A dispersion compensating optical fiber in accordance with the invention that is particularly effective at compensating for dispersion or dispersion slope in the C and L bands has a refractive index profile, as shown in Fig. 7, which is selected to result in a dispersion slope in said fiber which is less than  $-1.5$  ps/nm<sup>2</sup>/km over the wavelength range 1525 to 1565 nm; a dispersion at 1550 nm which is less than  $-75$  ps/nm/km; and a kappa value, obtained by dividing the dispersion by the dispersion slope, that is between 40 and 90. The refractive index profile of said fiber comprises a central segment having a  $\Delta_1$ , a second annular segment which surrounds said central segment having  $\Delta_2$ , a third annular segment which surrounds said second segment having  $\Delta_3$  and a cladding layer comprising  $\Delta_c$ , wherein  $\Delta_1 > \Delta_3 > \Delta_c > \Delta_2$ .



In accordance with another embodiment, a dispersion compensating fiber includes a refractive index profile selected to result in a dispersion slope in said fiber which is less than  $-0.8 \text{ ps/nm}^2/\text{km}$  over the wavelength range 1525 to 1565 nm; a dispersion at 1550 nm which is less than  $-100 \text{ ps/nm/km}$ ; and a kappa value obtained by  
5 dividing the dispersion by the dispersion slope, that is between 40 and 90. The refractive index profile of this embodiment of fiber comprises a central segment having a  $\Delta 1$  and an outer radius  $r1$ , a second annular segment which surrounds the central segment having a  $\Delta 2$  and an outer radius  $r2$ , a third annular segment which surrounds the second segment having a  $\Delta 3$  and a cladding layer comprising  $\Delta c$ , wherein  $\Delta 1 > \Delta 3 >$   
10  $\Delta c > \Delta 2$ , and wherein the core moat ratio  $r1/r2$  is less than 0.4.

It will be apparent to those skilled in the art that various modifications and variations can be made to the present invention without departing from the spirit and scope of the invention. Thus, it is intended that the present invention covers the modifications and variations of this invention provided they come within the scope of  
15 the appended claims and their equivalents.

## WHAT IS CLAIMED IS:

1. A dispersion slope compensating optical fiber comprising:  
a core refractive index profile which is selected to result in a dispersion slope in  
5 said fiber which is less than  $-1.0 \text{ ps/nm}^2/\text{km}$  over the wavelength range  
1525 to 1565 nm;  
a dispersion at 1550 nm which is less than  $-30 \text{ ps/nm/km}$ ;  
a kappa value obtained by dividing the dispersion by the dispersion slope is  $>$   
35; and  
10 the refractive index profile of said fiber comprises a central segment having a  
 $\Delta 1$ , a second annular segment which surrounds said central segment  
having  $\Delta 2$ , a third annular segment which surrounds said second  
segment having  $\Delta 3$  and a cladding layer comprising  $\Delta c$ , wherein  $\Delta 1 > \Delta 3$   
 $> \Delta c > \Delta 2$ .  
15
2. The dispersion slope compensating optical fiber of claim 1, wherein said  
dispersion slope is less than  $-1.5 \text{ ps/nm}^2/\text{km}$ .
3. The dispersion slope compensating optical fiber of claim 1, wherein said  
20 dispersion slope is less than  $-1.5 \text{ ps/nm}^2/\text{km}$  and the dispersion at 1550 nm is less than  
 $-70 \text{ ps/nm/km}$ .
4. The dispersion slope compensating optical fiber of claim 3, wherein said kappa  
value is between 40 and 100.  
25
5. The dispersion slope compensating optical fiber of claim 1, wherein said kappa  
value is greater than about 50.
6. The dispersion slope compensating optical fiber of claim 1, wherein said kappa  
30 value is between 40 and 60.

7. The dispersion slope compensating optical fiber of claim 1, wherein said dispersion slope is less than  $-2.0 \text{ ps/nm}^2/\text{km}$ .
- 5 8. The dispersion slope compensating optical fiber of claim 4, wherein the refractive index profile of said fiber comprises a central segment having a  $\Delta 1$ , a second annular segment which surrounds said central segment having  $\Delta 2$ , a third annular segment which surrounds said second segment having  $\Delta 3$  and a cladding layer comprising  $\Delta c$ , wherein  $\Delta 1 > \Delta 3 > \Delta c > \Delta 2$ .
- 10 9. The dispersion slope compensating optical fiber of claim 8, wherein  $\Delta 2/\Delta 1$  is greater than -0.4.
- 15 10. The dispersion slope compensating optical fiber of claim 8, wherein  $\Delta 2/\Delta 1$  is greater than -0.37.
11. The dispersion slope compensating optical fiber of claim 9, wherein  $\Delta 1$  is between 1.0 and 2.5 percent and comprises an outer radius  $r_1$  between about 1 to 3 microns,  $\Delta 2$  is less than about -0.4 percent, and comprises an outer radius  $r_2$  between about 3.5 and 8 microns, and  $\Delta 3$  is between about 0.2 to 1.0 percent and comprises a center radius  $r_3$  between about 5 to 12 microns.
- 20 12. The dispersion slope compensating optical fiber of claim 9, wherein  $\Delta 1$  is between 1.2 and 2.2 percent and comprises an outer radius  $r_1$  between about 1 to 2 microns,  $\Delta 2$  is between than about -0.5 and -1.0 percent, and having outer radius  $r_2$  between about 4 and 7 microns.
- 25 13. The dispersion slope compensating optical fiber of claim 11, wherein the third annular segment is selected from the group consisting of a) a  $\Delta 3$  between about 0.5 to 1.0, a center radius of 5 to 12 microns, and a half-height width between about 0.5 to 2.5
- 30

microns, and b) a  $\Delta 3$  between about 0.1 to 0.5, a center radius of 6 to 12 microns, and a half-height width between about 1.5 to 3 microns.

14. The dispersion slope compensating optical fiber of claim 12, wherein the third  
5 annular segment is selected from the group consisting of a) a  $\Delta 3$  between about 0.5 to 1.0, a center radius of 5 to 12 microns, and a half-height width between about 0.5 to 2.5 microns, and b) a  $\Delta 3$  between about 0.1 to 0.5, a center radius of 6 to 12 microns, and a half-height width between about 1.5 to 3 microns.

10 15. The dispersion slope compensating fiber of claim 1, wherein said fiber exhibits a fiber cutoff wavelength greater than about 1600 nm.

16. The dispersion slope compensating fiber of claim 15, wherein said fiber exhibits a fiber cutoff wavelength greater than about 1650 nm.

15 17. A dispersion compensating module comprising at least one fiber made in accordance with claim 1.

18. A dispersion compensating module comprising at least two optical fibers made  
20 in accordance with claim 1, a first of such fibers having a kappa between about 40 and 60 at 1590 nm and a second of such fibers having a kappa between about 80 and 100 at 1590nm.

19. The dispersion compensating module of claim 18, wherein said first fiber  
25 comprises a dispersion at 1550nm which is less than  $-75$ , and said second fiber comprises a dispersion at 1590nm which is less than  $-75$ .

20. The dispersion compensating module of claim 19, wherein each of said two  
30 fibers comprises a refractive index profile having a central segment having a  $\Delta 1$ , a second annular segment which surrounds said central segment having  $\Delta 2$ , a third

annular segment which surrounds said second segment having  $\Delta 3$  and a cladding layer comprising  $\Delta c$ , wherein  $\Delta 1 > \Delta 3 > \Delta c > \Delta 2$ .

21. The dispersion slope compensating optical fiber of claim 1 further comprising:

(a) a dispersion at 1550 nm which is greater than  $-200$ ;

(b) a kappa value between 40 and 100; and

(c) the central segment has an outer radius  $r1$  and the second annular segment has an outer radius  $r2$  and the core to moat ratio taken as  $r1$  divided by  $r2$  is less than 0.4.

22. The dispersion slope compensating optical fiber of claim 1 further comprising:

(a) a dispersion at 1550 nm which is between  $-90$  and  $-150$ ;

(b) a dispersion slope less than  $-1.5 \text{ ps/nm}^2/\text{km}$ ; and

(c) a kappa value between 40 and 100.

23. The dispersion slope compensating optical fiber of claim 1 wherein the central segment has an outer radius  $r1$  and the second annular segment has an outer radius  $r2$  wherein  $r1$  is less than 2.0 and  $r2$  is between 4.0 and 7.0 microns and the core to moat ratio taken as  $r1$  divided by  $r2$  is less than 0.4.

24. The dispersion slope compensating optical fiber of claim 23 wherein the core moat ratio is less than 0.36.

25. The dispersion slope compensating optical fiber of claim 23 wherein the core moat ratio is less than 0.34.

26. The dispersion slope compensating optical fiber of claim 1 wherein the central segment has an outer radius  $r1$  and the second annular segment has an outer radius  $r2$  and the core to moat ratio taken as  $r1$  divided by  $r2$  is less than 0.36.

27. The dispersion slope compensating optical fiber of claim 1 further comprising a  $\Delta 1$  between about 1.6 percent to 2.0 percent and a  $\Delta 2$  less than  $-0.6$ .

28. The dispersion slope compensating optical fiber of claim 1, wherein  $\Delta 1$  is between 1.6 and 2.0 percent and comprises an outer radius  $r_1$  between about 1.5 to 2.0 microns,  $\Delta 2$  is less than about -0.6 percent, and comprises an outer radius  $r_2$  between about 4.5 and 6 microns, and  $\Delta 3$  is between about 0.4 to 0.8 percent and comprises a center radius  $r_3$  between about 6 to 10 microns.

29. The dispersion slope compensating optical fiber of claim 28 wherein  $r_3$  is spaced from  $r_2$  by greater than 1.0 microns.

FIG. 1

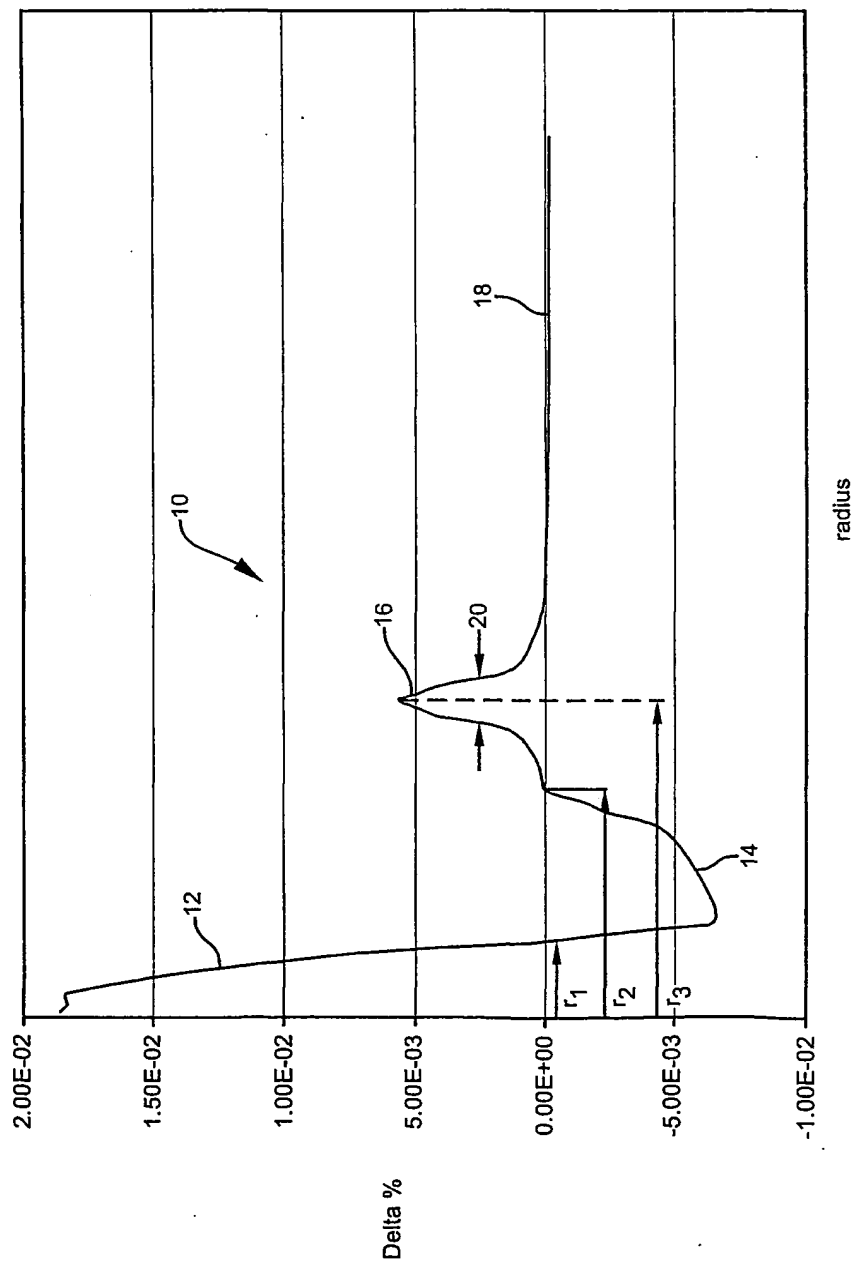
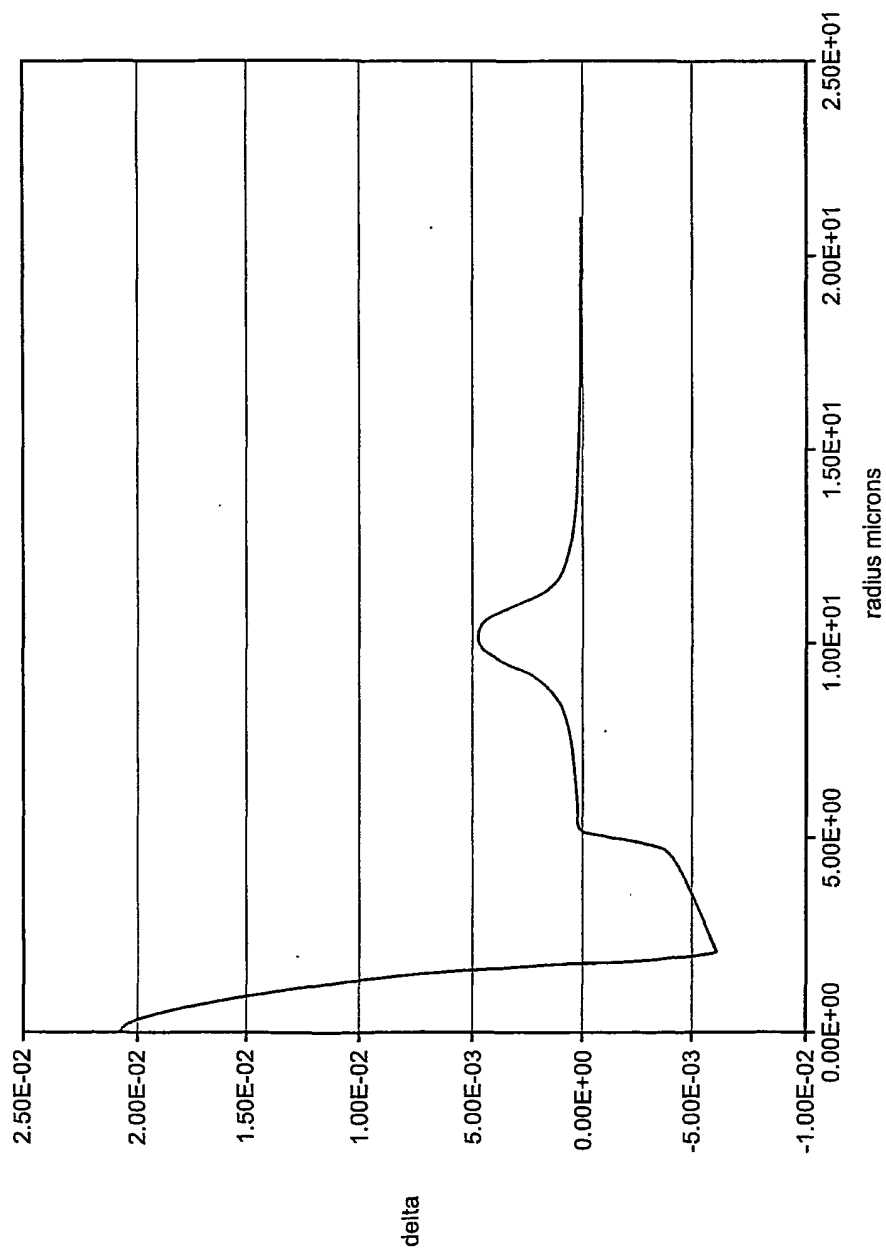


FIG. 2





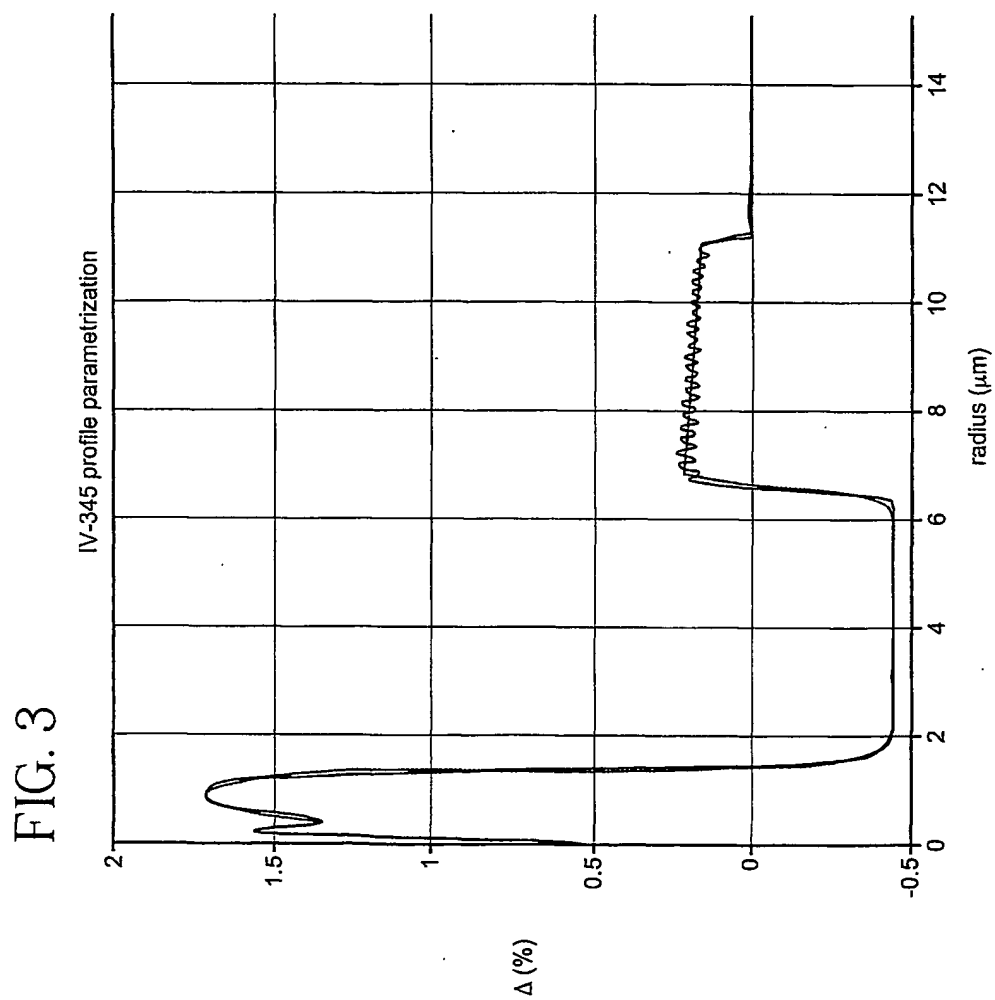
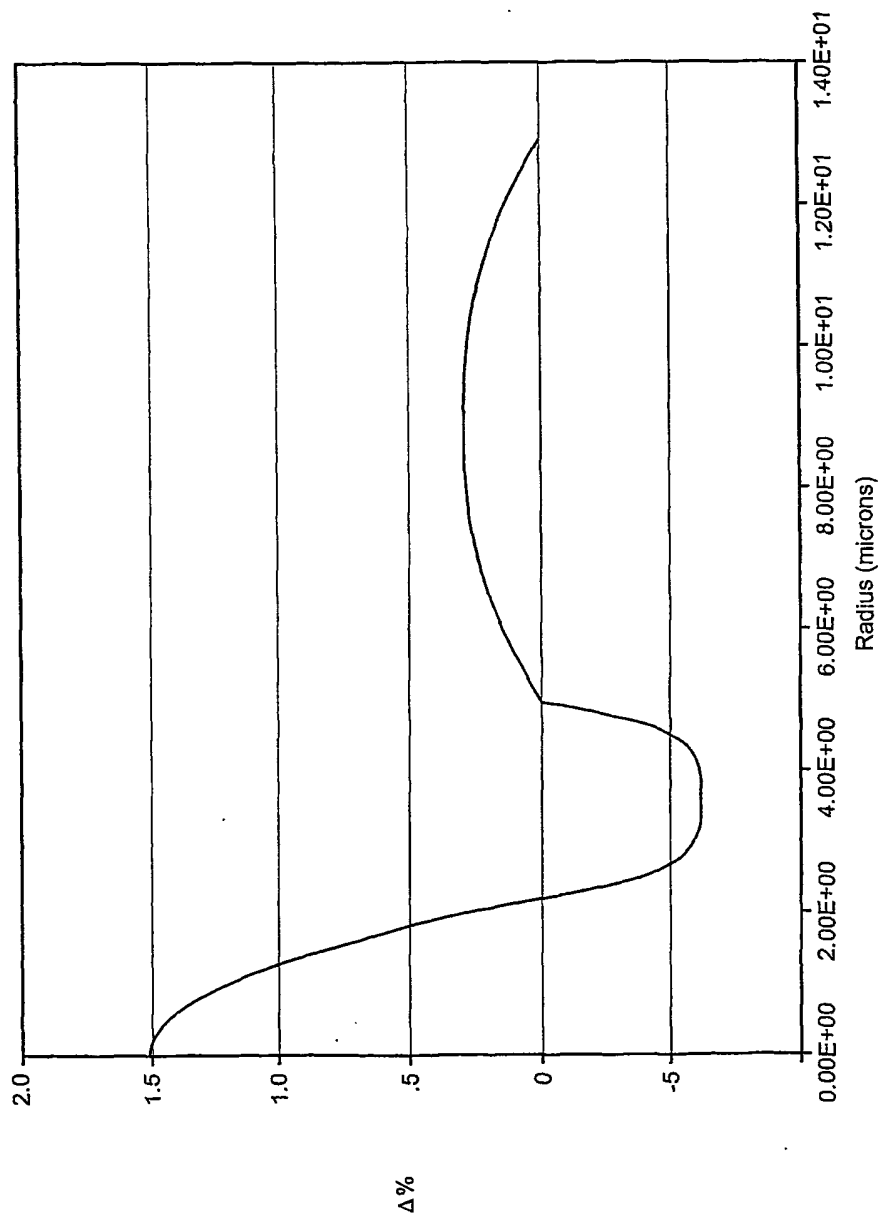


FIG. 4



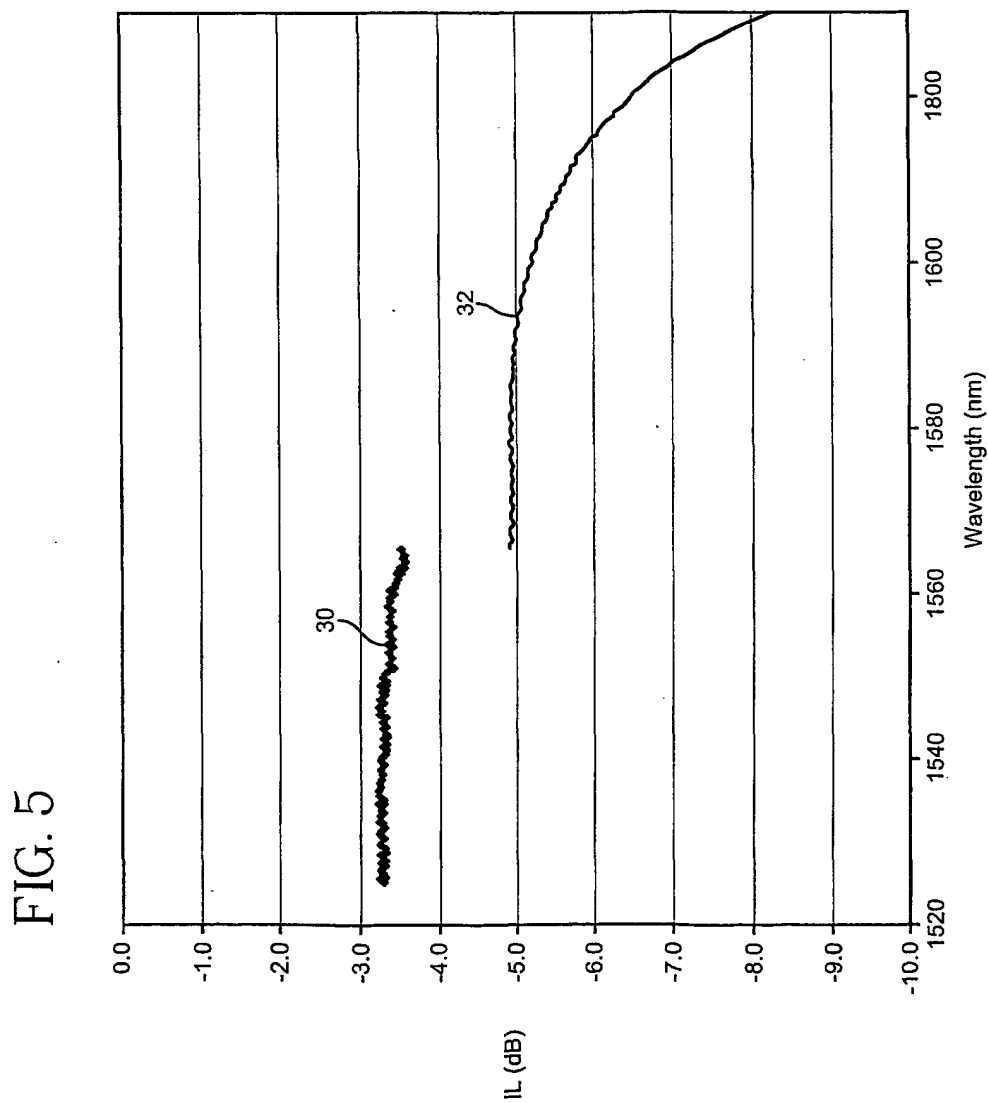
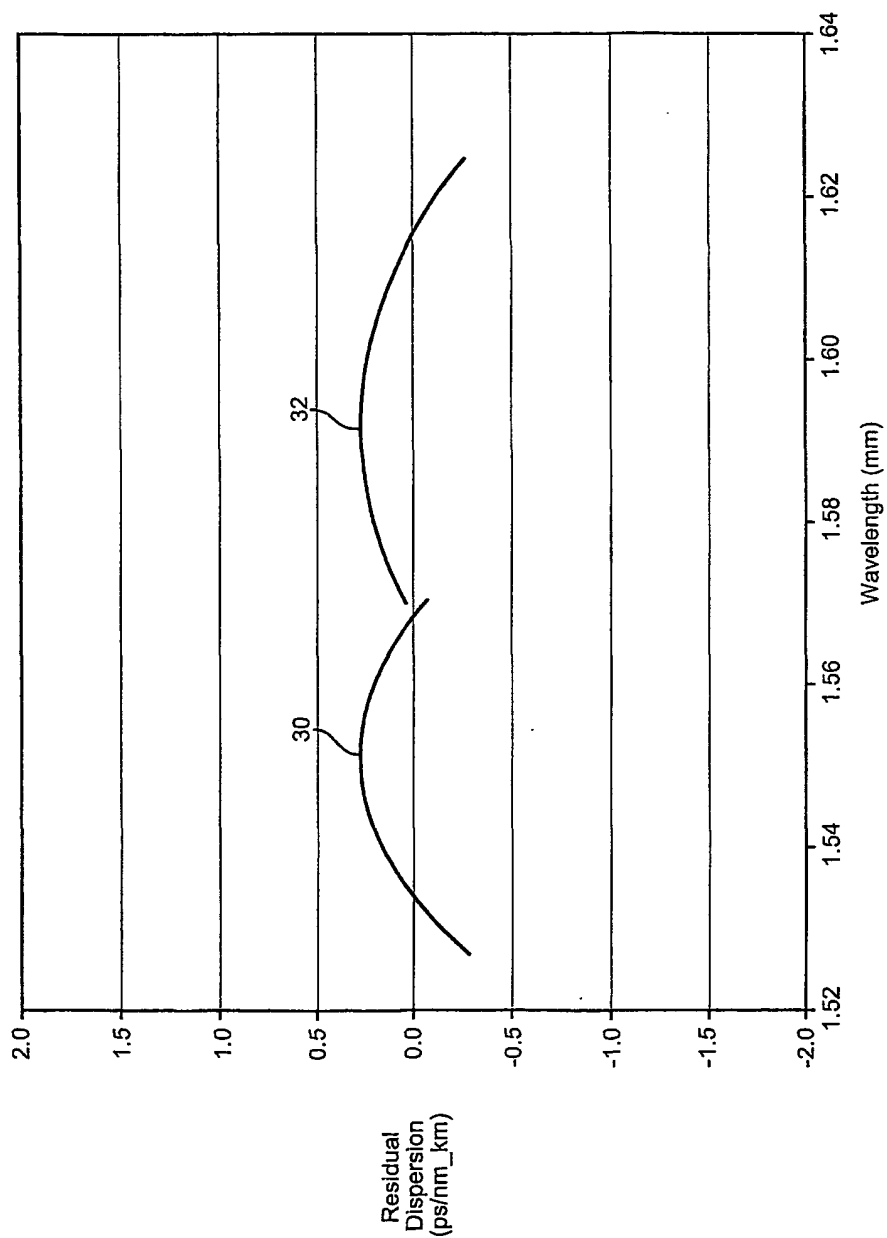
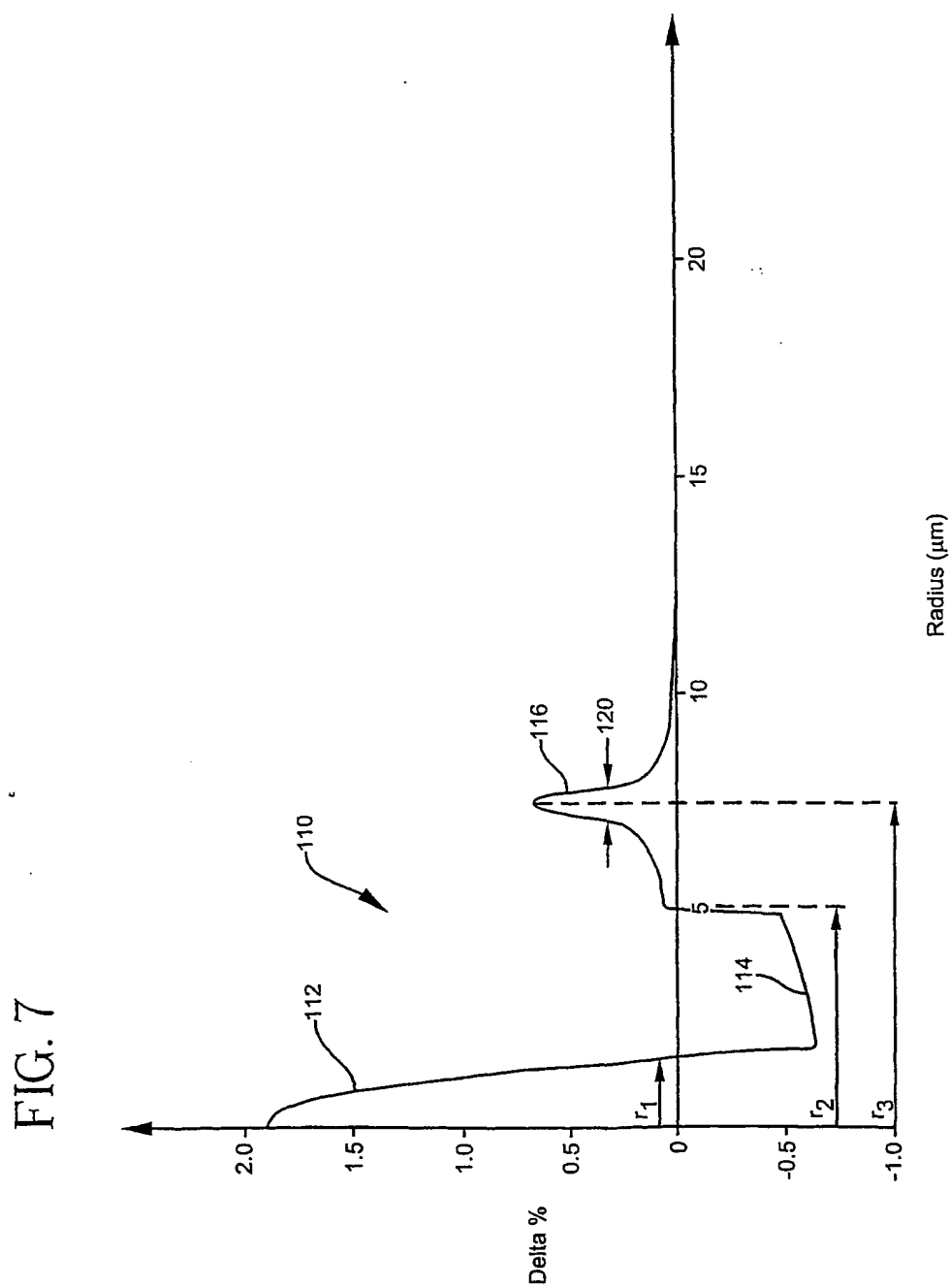


FIG. 6



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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/08190

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 G02B6/22

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G02B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, INSPEC, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 940 697 A (FURUKAWA ELECTRIC CO LTD) 8 September 1999 (1999-09-08) abstract; figure 5 column 3, paragraph 12 -column 6, paragraph 26	1,8,11, 12,17,20
X	US 5 448 674 A (VENGSARKAR ASHISH M ET AL) 5 September 1995 (1995-09-05) abstract; figures 1,4 column 2, line 65 -column 4, line 52	1,8,11
X	US 5 838 867 A (KANAMORI HIROO ET AL) 17 November 1998 (1998-11-17) abstract; figures 5A,6A; tables	1,8,11
E	WO 01 73486 A (CORNING INC) 4 October 2001 (2001-10-04) the whole document	1
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Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

\* Special categories of cited documents :

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&amp;\* document member of the same patent family

Date of the actual completion of the international search

27 June 2002

Date of mailing of the international search report

11/07/2002

Name and mailing address of the ISA

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Faderl, I

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/08190

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	& WO 99 47953 A (FURUKAWA ELECTRIC CO. LTD) 23 September 1999 (1999-09-23) abstract -----	27,29
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P,L, X	WO 00 67053 A (CORNING INC) 9 November 2000 (2000-11-09) abstract; figure 5; tables -----	1

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 01/08190

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.: 2-7, 9, 10, 15, 16, 18, 19, 21-26  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.



## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 2-7,9,10,15,16,18,19,21-26

The non-searched claims either define the product with specific, unsearchable parameters (parametric claims) or relate to a product defined with reference to a desirable characteristic or property (desiderata claims), for example:

- chromatic dispersion value
- dispersion slope
- ratio of the dispersion slope divided by chromatic dispersion value
- effective area (at certain wavelengths)
- cut-off wavelength
- change of the ratio of dispersion slope divided by chromatic dispersion
- transmission loss per kilometer, etc.

These properties are defined by the choice of the refractive index profile, applied materials and the fabrication method. By omitting these technical features a meaningful search is not possible because those claims lack clarity.

The claims cover all products having this characteristic or property, whereas the application provides support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT for only a very limited number of such products. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Independent of the above reasoning, the claims also lack clarity (Article 6 PCT).

Consequently, the search has been carried out for those parts of the claims which appear to be clear and/or supported by the description or the examples.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.-

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/08190

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			EP 1175632 A1	30-01-2002
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